

UNIVERSITY OF ILLINOIS SYSTEM

URBANA-CHAMPAIGN • CHICAGO • SPRINGFIELD

Office of Business Services
301 Coble Hall, MC-335
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Champaign, Illinois 61820

University of Illinois FY22 Federal Compliance Audit Corrective Action Plans

Finding 2022-001 Inadequate Internal Controls over Census Data

Plan: The University completed the base year reconciliation of fiscal year 2021 active member' census data in July 2022. The University has also been in contact with the State Universities Retirement System (SURS) regarding the annual reconciliation focused on the incremental changes from the prior actuarial calculation. The University is eager to receive formal guidance from SURS to begin the reconciliation. Additionally, the University will continue to enhance controls to improve the timeliness of reporting certain employee events impacting pension and OPEB census data and will strengthen processes for determining pension and OPEB eligibility.

Expected Implementation Date: June 30, 2023

Contact:

Katie Ross, Assistant Vice President
System Human Resources
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217-244-7979

Finding 2022-002 Inadequate Controls over Fiduciary Fund Accruals

Plan: The University is reviewing and improving its processes for identifying accruals and deferrals for its third-party fiduciary funds.

Expected Implementation Date: June 30, 2023

Contact:

Brent Rasmus, Senior Assistant Vice President, Controller, and Deputy Comptroller
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217-244-7347

Finding 2022-003 Inadequate Controls over Revenue and Expense Accruals

Plan: The University continues to review and enhance its processes to ensure revenue and expense are recognized in the correct fiscal year.

Expected Implementation Date: June 30, 2023

Contact:

Brent Rasmus, Senior Assistant Vice President, Controller, and Deputy Comptroller
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217-244-7347

Finding 2022-004 Weaknesses in controls over Electronic Health Record System

Plan: The University Hospital has already implemented a reconciliation procedure to ensure changes to the Electronic Health Records (EHR) production environment match changes approved through the established change management process.

The University, using a coordinated approach across a number of functional areas, will develop an annual access review process for EHR system users. Additionally, using a similar coordinated approach, the University will review employee separation policies and processes to determine options for improvements.

Expected Implementation Date: January 2024

Contact:

Audrius Polikaitis, Chief Information Officer
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Nicholas Haubach, Chief Human Resources Officer
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Michael Ginsburg, Associate Vice Chancellor for Human Resources
University of Illinois Chicago Human Resources
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Finding 2022-005 Excess Cash - SFA

Plan: The University will continue to use the enhanced excess cash identification process which was implemented in May 2022

Expected Implementation Date: May 2022

Contact:

Deidre Rush, Director of Fiscal Operations
Office of Student Financial Aid University of Illinois Chicago
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312-996-5563

Linda Gregory, Director-SPA Post Award
Sponsored Program Administration, UIUC
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217-333-2187

Finding 2022-006 Errors in Reporting for NSLDS

Plan: The unofficial withdrawal enrollment reporting process is a manual process for the University of Illinois Urbana-Champaign. The Office of the Registrar and the Office of Student Financial Aid are continuing to review the process and find ways to reduce the potential for human error. An additional staff member was hired in the Office of the Registrar and beginning January 2023 is reviewing all manually entered information. The Office of Student Financial Aid has implemented an additional check to ensure information provided to the Office of the Registrar is accurate.

Expected Implementation Date: March 2023

Contact:

Meghan Hazen, Registrar
University of Illinois Urbana-Champaign
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(217)333-2034

Michelle Trame, Director
Office of Student Financial Aid
mtrame@illinois.edu
217-333-7542

Finding 2022-007 Late Submission of Annual Reporting

Plan: The University of Illinois Urbana-Champaign will review internal processes used to identify and document the financial reporting requirements per the terms and conditions of each sponsored project and conduct refresher training, as appropriate..

Expected Implementation Date: January 2023

Contact:

Karen Thomas, Director-SPA Post Award
Sponsored Program Administration, UIUC
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217-333-2187

Finding 2022-008 Timing of Subrecipient Payments

Plan:

UIUC- The University of Illinois Urbana-Champaign continues to review and enhance its internal subrecipient payment processes to find ways to identify and prevent untimely subrecipient payments, and to reduce the potential for human error. The University will implement additional internal measures to address inefficiencies related to the current multi-department review, approval, and payment process.

UIC - The University of Illinois Chicago will communicate reminders and provide training, as necessary, to parties involved in the subrecipient payment process. The University will continue to monitor and refine procedures.

Expected Implementation Date: April 2023

Contact:

Katrina Lopez, Assistant Director
University of Illinois Chicago - Office of Sponsored Projects (OSP)
kllopez3@uic.edu
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Finding 2022-009 Federal Funding Accountability and Transparency Act Reporting

Plan: The University of Illinois Chicago has ensured that FFATA reporting is current. Any discrepancies between FSRS.gov and University records are actively being resolved. The University will continue to regularly monitor.

Expected Implementation Date: December 2022

Contact:

Karen McCormack, Executive Director
University of Illinois Chicago - Office of Sponsored Projects (OSP)
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Finding 2022-010 Inadequate Support for Procurement

Plan: Effective September 20, 2022, the University of Illinois Chicago requires all procurement requisitions to be processed using the iBuy eProcurement system. Therefore, required procurement support is captured in the official procurement file.

Expected Implementation Date: September 20, 2022

Contact:

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